

QUARTERLY DIGICUR STATUS REPORT (QUADSREC)

Name of the Covered Person

__ Quarter, 202__

Obligations under the DIGICUR	Ongoing Issues	% of Completion as of _____	DIGICUR Completion Measures	Target Completion Date (must be on or before <u>30 September 2022</u>)
Updating of the Money Laundering/Terrorism Financing Prevention Program (MTPP)	•		<ul style="list-style-type: none"> • Revision of the MTPP to incorporate the requirements under the DIGICUR • Approval of the updated MTPP by the Board of Directors/ Senior Management (for partnerships, sole corporations or sole proprietorships) 	
Development of a central database of customer records	•		Indicate details and amount of investment in the following: <ul style="list-style-type: none"> • Capital Expenditures; • IT equipment; • System upgrades; • Training; and • Other measures. 	
Digitization of <u>existing customer accounts</u> prior to the effectivity of the DIGICUR	•	<u>Number of digitized existing customer accounts prior to the effectivity of the DIGICUR/total number of existing</u>	Indicate details and amount of investment in the following: <ul style="list-style-type: none"> • Capital Expenditures; • IT equipment; • System upgrades; 	

ANNEX A

		<i>customer accounts prior to the effectivity of the DIGICUR = %</i>	<ul style="list-style-type: none"> • Training; and • Other measures. 	
Digitization of <u>new accounts</u> opened after the effectivity of the DIGICUR	•	<i>Number of digitized new customer accounts opened after the effectivity of the DIGICUR/total number of new customer accounts opened after the effectivity of the DIGICUR = %</i>	Indicate details and amount of investment in the following: <ul style="list-style-type: none"> • Capital Expenditures; • IT equipment; • System upgrades; • Training; and • Other measures. 	

Certified correct:

Attested by:

Chief Compliance Officer

President/CEO